



TO: Joint Review Committee on UCC Article 9

FROM: Kelly Kopyt, International Association of Commercial Administrators

RE: IACA Report on Electronic Filing: Special Characters and Data Field Lengths

DATE: June 1, 2009

Summary:

In February 2009, the Joint Task Force on Filing Office Operations and Search Logic (FOOSL) asked the Joint Review Committee to review the issue of minimum system capabilities and consider whether to add an Article 9 statutory provision to define appropriate minimum data field lengths for electronic filings. Additionally, in March, FOOSL expressed concerns relative to special characters and the limited ability of a filing office to index them so they may be returned in a subsequent search. FOOSL argued that these issues were directly related to the Joint Review Committee's review of debtor name sufficiency in 9-503(a). Following the Joint Review Committee's discussion of these preliminary concerns, the International Association of Commercial Administrators' (IACA) Secured Transaction and Information Technology Sections established the Electronic Filing/Character Field Lengths Committee to discuss data field length and reconvened IACA's Indexing Standards Workgroup to discuss special characters. IACA had an opportunity to review these topics in the past few months and presented findings to its membership last week at the 2009 annual IACA Conference. The Electronic Filing/Character Field Lengths Committee began to establish a minimum, as well as an optimum, data field length in order to better accommodate data entry of a sufficient debtor name in accordance with 9-503(a). The IACA Indexing Standards Workgroup discussed concerns regarding the treatment of special characters in a joint session meeting and then revised last year's resolutions accordingly. Finally, IACA reviewed its draft 9-521 National Forms, made final changes and hereby presents a final draft for recommendation and comment. This report intends to summarize IACA's discussions and initiatives from the 2009 IACA Conference in Denver, Colorado.

Special Characters:

In 2008, the IACA Indexing Standards Workgroup presented best practices for the indexing of special characters presented in individual and organization names for both business entity and secured transactions filings. In accordance with these best practices, IACA decided to present its concerns to the Permanent Editorial Board (PEB) and the National Conference of Commissioners on Uniform State Laws (NCCUSL) in order to request that the concerns relative to secured transaction financing statements be addressed in statute. This recommendation focused upon financing statements because there are very few special characters presented in business entity names. Additionally, the Model Business Corporation Act requires that all documents be written in the English language, English letters or Arabic or Roman Numerals which further reduces the occurrences of special characters in an entity name. As a result, the special character concerns

became focused on debtor names presented in a financing statement. Also in 2008, IACA recommended that its members publish their filing office practices relative to the indexing and searching of special character so as to properly notify submitters and searchers. Additionally, IACA recommended that filing offices presented with special characters in a name on a financing statement that have the ability to index the special character should do so. However, the 2008 resolution also stated that if the filing office does not have the ability to index the special character presented in a name on a financing statement, the filing office should reject the document or otherwise require that the remitter provide a name that the filing office has the ability to index.

In this year's review of the special character best practices, IACA determined that rejection of the financing statement is unsatisfactory to submitters attempting to sufficiently get the debtor name right. Moreover, searchers would be far less likely to locate a lien that had been rejected and resubmitted because they wouldn't know how the submitter altered the name in order to get it properly filed. Now, in a new 2009 IACA resolution, attached as Exhibit A, IACA recommends that members may accept the filing, index the name as necessary in accordance with published filing office practices relative to indexing and searching special characters.

IACA's preference is for the Joint Review Committee to give guidance by way of statute for the treatment of special characters, while considering the costs and/or burdens on the filing office and subsequent searchers. For example, a solution that requires the establishment of a separate index for each foreign language would be excessively costly and most states are currently operating on limited budgets. There would also be an excessive burden on the filing office to use Optical Character Recognition (OCR) to scan and enter the filing into a foreign language database and then also enter a cross reference to each foreign language filing in the existing English language database. Additionally, searchers will be required to search multiple databases in a single jurisdiction. Bear in mind, IACA's member jurisdictions are presented with a very small number of special character debtor names on financing statements when compared to their total number of financing statements; these concerns are not widespread. Please keep IACA involved in these discussions so we may continue to provide the Joint Review Committee with relevant data.

Data Field Lengths:

In February 2009, the Joint Review Committee discussed the growing popularity of electronic filing and the preliminary concerns regarding maximum data field sizes, electronic attachments and the difficulties this may pose for electronic submitters. At that time, FOOSL asked the Joint Review Committee to consider establishing minimum system capabilities and data field lengths in Article 9 or its official comment. Subsequently, IACA's Secured Transactions and Information Technology Sections established the Electronic Filing/Character Fields Committee. First, the Committee reviewed the existing data field lengths and electronic filing capabilities throughout its member jurisdictions. The State UCC Financing Statement Electronic Filing Capabilities spreadsheet, attached as Exhibit B, provides a general understanding of each state's existing capabilities.

At the 2009 IACA Conference, the Electronic Filing/Character Fields began establishing a minimum data field length for each debtor name field, the secured party name field, the mailing address field and the larger collateral fields. In our evaluations, IACA decided to conduct a case study in selected jurisdictions where accessible filing methods were available, such as Internet interfaces and/or extensible markup language (XML) filings. Additionally, IACA's case studies have a high volume of filings with excessively long existing data field lengths allowing us to

calculate the percentages associated with various name lengths. Based on the criteria, California and Texas were chosen as IACA's case studies. In each study, IACA calculated the number of occurrences for each data length in order to determine a minimum field length capable of accommodating most data lengths. For each field, IACA determined the average data length, calculated the field lengths that accommodated the 99th percentile and then the 99.8th percentile with the intention of including the majority of data lengths. The information is set forth in Table 1 below, Data Field Length Statistics, along with the maximum field length in each state.

TABLE 1: Data Field Length Statistics

Field		Average	99%	99.8%	Max
Org Name (Debtor)	TX	26	60	77	300
	CA	25	83	79	300
Org Name (Secured Party)	TX	26	62	110	300
	CA	28	86	159	300
First Name	TX	5	10	13	50
	CA	5	10	13	50
Middle Name	TX	2	8	9	35
	CA	2	9	11	50
Last Name	TX	6	12	19	50
	CA	6	13	19	50
Suffix	CA	2	7	9	10
Mailing Address	CA	19	45	60	110

When IACA began this initiative, we were asked to review and establish minimum data field lengths to better assist submitters filing under the debtor's sufficient name pursuant to 9-503(a), while reducing the occurrences of hidden liens caused by a filing office using its own abbreviations. As IACA worked toward the establishment of a minimum field length, we were reminded that some states may be pursuing system developments and upgrades in the very near future. For this reason, it was in the best interest of our membership to work toward the establishment of an optimum data field length as well as a minimum data field length. In essence, all areas are covered because an optimum field length would allow us to plan ahead for those states upgrading their systems, while the minimum field length will eliminate the more drastic limitations existing in a smaller percentage of states. Our member jurisdictions have taken the field lengths set forth in Table 2, Data Field Lengths for Discussion Purposes, back to their offices so they may report back regarding the consequences of treating these lengths as an IACA recommendation. At the present time, the following lengths are presented for discussion purposes only; final recommendations will follow.

TABLE 2: Data Field Lengths for Discussion Purposes

Data Field	Optimum	Minimum
D - Organization Name	300	120
D - Individual Last Name	32	24
D - Individual Middle Name	16	10
D - Individual First Name	20	16
SP – Organization Name	300	120
Mailing Street Address	80	72
Collateral	Unlimited	64,000
Electronic Filing Capabilities	Allowable/Accessible	
Electronic Attachments	PDF capability	

Based on the existing data field lengths provided in Exhibit B, only the State of Utah is currently unable to accommodate the individual name minimum field lengths. For organization names, only New Jersey, Oklahoma, North Dakota, South Dakota, Vermont and West Virginia would be asked to lengthen their organization name fields. In some of these states, like North Dakota, the filing office is currently pursuing new system developments that will allow them to accommodate at least the minimum field lengths presented for discussion. As it has been relayed to IACA, the greatest deal of concern surrounds the debtor name fields and the majority of states are already capable of accommodating the minimum for those specific fields. Larger numbers of deviations from the minimum field length exist for the mailing address and collateral fields; however, these fields are not related to the Joint Review Committee's discussion on debtor name sufficiency.

Given the small number of deviations for debtor name fields, IACA argues that the circumstances are not significant enough to warrant a statutory provision in Article 9. With our initiatives already underway, IACA asks the Joint Review Committee to leave this issue to IACA's Committee until it is able to establish a recommended standard and report upon its adoption throughout our membership. IACA has been very successful with these initiatives in the past and we are confident that, given the initial opportunity to confront this issue, we can significantly bring about greater uniformity. We ask that you allow IACA an opportunity to work on this before the Joint Review Committee resorts to setting a minimum system capability in the black letter law of Article 9 or its official comment.

As presented in the Task Force's report, the minimum system capabilities seemed focused upon the field lengths in an electronic filing. However, IACA's members argue that these data field lengths also correlate to the data entry field lengths, the type and size font able to fit on the UCC Forms as well as other fields. If the Joint Review Committee decides to address these capabilities in Article 9, it will initiate a slippery slope on the topic of field lengths for filing office data entry processes, search fields and XML field lengths. Moreover, should the Joint Review Committee begin to address a technical issue like character field lengths, will it then take other technical considerations and system standards under advisement? IACA strongly suggests that these technical specifications

are better addressed through alternative means. Many states are subject to additional regulations or statutes governing their technical capabilities and this revision may cause conflict. Additionally, it may take time for certain states to become compliant with a minimum system capability. If the minimum capabilities are presented in statutory form before IACA is given an opportunity to work on the issue and a state is presented with a standard that it is unable to accommodate, it will simply not adopt the change, thereby leading to greater inconsistency. We are confident that our efforts will be productive for all the interested parties; IACA shares the same concerns on this issue as the Joint Task Force on Filing Office Operations and Search Logic.

UCC Forms:

IACA hereby proposes the attached revisions to the National Forms, UCC1 and UCC3, for as included in the revision of 9-521, to the Joint Review Committee. Initially, IACA planned to focus its recommendations on the concerns relayed to the Joint Review Committee on February 2, 2009. However, as our efforts progressed, we took additional suggestions under advisement. The following summarizes the changes, which aim to simplify the form, encourage ease of use and promote great longevity of the revised forms. Additional forms UCC1Ad, UCC1AP, UCC3Ad, UCC3AP and UCC5 are also provided, in Exhibit C, in conjunction with IACA's proposed revisions.

A. Form UCC1, UCC Financing Statement:

- i.** Box 1d, requesting a tax identification, social security or employer identification number, is eliminated. This will accommodate the majority of filing offices enduring significant pressure to remove social security numbers from the public record. Only North and South Dakota continue to require the social security number be set forth on a form promulgated by the Secretary of State. Their state form acts as a UCC1 financing statement and a Food Security Act notice, therefore a change to the national form will not have an additional affect on the filing requirements in those states.
- ii.** Each occurrence of the last name field shall also identify that the last name is also referred to as family name or surname. Additionally, each first or middle name field now requests the "first given name" and "second given name" to establish consistency with the Joint Review Committee's March 23, 2009, draft revision to 9-503(a).
- iii.** The organizational identification number in box 1g is removed because it is inapplicable in many states. Additionally, IACA trusts that the information requested of an organizational debtor in boxes 1e and 1f is redundant. The filing office implicitly identifies the type of entity in other manners. Elimination of these fields would require corresponding amendments to Article 9; therefore if the Joint Review Committee decides to undertake the statutory revision, IACA would subsequently recommend the removal of boxes 1e, 1f, and 1g from the UCC1.
- iv.** IACA proposed a new box 1d to allow the debtor to be identified as a trust, trustee or decedent's estate. These check boxes were relocated from the addendum form based upon their relevance to the debtor information on the face of the UCC1. In turn, this reduces the need for the addendum form, Form UCC1Ad.
- v.** Another one of the most common uses of the addendum form, Form UCC1Ad, is to identify a debtor as a transmitting utility or its connection with a manufactured home

- transaction or a public finance transaction. IACA recommends the relocation of the addendum form box 18 to the UCC1 to encourage more one page filings.
- vi. IACA recommends that box 6, regarding real estate records, be moved to the addendum because it is more relevantly related to the additional information required on the addendum form, UCC1Ad.
 - vii. Box 7, the search report request check box, was eliminated because in many cases, it is not correctly accommodated by the filing office. Searchers were impartial to the search request on the UCC1; as a matter of fact, many searchers prefer to submit a separate Form UCC11 information request because it serves to double-check the filing office's data entry of the debtor name submitted on the UCC1.
 - viii. Finally, IACA recommends that the alternative designations for an agricultural lien or a non-UCC filing, as previously set forth in the alternative designation field, be relocated to the new box 5b. These check boxes indicate whether the filing is a transmitting utility or filed in connection with a manufactured home transaction, public finance transaction, an agricultural lien or a non-UCC filing. IACA is of the opinion all the "filed in connection with" designations are more appropriately identified in the new box 5. Subsequently, the new box 6 identifies a lease, consignment, bailment or sale.

B. Form UCC3, UCC Financing Statement Amendment:

- i. In our March meeting, the Joint Review Committee expressed concern regarding the close proximity of the termination and continuation check boxes. More specifically, we discussed how Bank of America had inadvertently checked off the termination box, rather than the continuation box, and subsequently terminated its security interests in excess of \$51 million. In order to avoid these inadvertent occurrences, the JRC recommended that IACA consider relocating the termination check box. As a result, IACA proposes moving the assignment check box to number 2 and the continuation check box to number 3. After moving the continuation check box down by one, the separation is arguably sufficient to avoid costly clerical errors in the future. This type of relocation was sufficient to all those participating in the IACA discussions: filing officers, submitters and searchers alike; a more drastic relocation may cause greater confusion.
- ii. A great deal of confusion also surrounds the instructions on the face of Form UCC3 in boxes 5, 6 and 7. Initially, IACA proposed to amend box 5 to add additional check boxes that would identify a name amendment, an address amendment, and a name and address amendment separately. After much discussion, IACA's membership found the additional check boxes and instructions to be more cumbersome because many states will continue to require the current record information be set forth in box 6. In turn, IACA suggests the check boxes be amended as follows:
 - a) IACA recommends that "change" be replaced with "amend" in boxes 5 and 7. Changes imply that the filing office will replace old information with the new information. Some database information may be updated, however, the filing offices acts as an "open-drawer" with regard to filed records in which it adds new information and retains all of the old information.
 - b) The check boxes have largely remained the same; however, IACA recommends revisions to the instructions on the face of the form for the first two (2) boxes. Changes to either the name, address, or the name and address require that items 6a or 6b, and 7a or 7b and 7c be completed in all scenarios. IACA argues that it is far less complicated to simply require all

of these fields in all circumstances. By eliminating the variation, we can streamline the filing process, reduce the potential for filing office rejection and increase the reliability of the records.

- iii. Also relevant to the UCC3 form changes are the changes made to the UCC3 Amendment Addendum. Previously, this form was largely blank, allowing for any additional information. Recently, the National Public Records Research Association (NPRRA) relayed concerns to IACA regarding real estate record amendments filed in the county offices. As NPRRA explains, county offices do not index their records by financing statement number, but instead, by debtor name. The instructions on the back of the form currently state that the amendment addendum must be attached and the debtor name set forth, however, in many cases these real estate records are filed in county offices without identifying the debtor name. Subsequently, hidden liens exist and the instructions on the back of the UCC3 form are not alleviating the problem. To encourage the inclusion of the debtor name for these real estate records, IACA recommends adding the following instruction to the face of the form: "Attach Amendment Addendum (Form UCC3Ad) and include Debtor's name." Additionally, IACA recommends adding the debtor name fields, in order to prompt submitters to provide the information, and real estate records fields to the UCC3Ad, establishing consistency with the current UCC1Ad.

C. Form UCC5, UCC Statement of Claim Concerning Inaccurate or Wrongfully Filed Record:

The following changes to Form UCC5, Statement of Claim, correspond to the Joint Review Committee's March 23, 2009, draft revision of 9-518. These changes are effective immediately on the IACA Model Form, available at www.iaca.org.

- i. In order to clarify effectiveness of the filing, IACA recommends adding the comment at the top providing that the filing of the statement does not affect the effectiveness of an initial financing statement or other filed record, as stated in 9-518(c).
- ii. The name of the form has been changed to "Statement of Claim Concerning Inaccurate or Wrongfully Filed Record." Subsequently, each occurrence of the former "correction statement" throughout the form has been replaced with "statement of claim."
- iii. Finally, IACA recommends that the initial financing statement file number be moved to box 1a in order to maintain consistency with the other UCC forms.
- iv. In turn, box 1b shall request the record information to which this statement of claim relates so that submitters may indicate the record number as well as type of record or filing date of the record. If the submitter is able to provide the record number, it will serve to be more valuable than an indication of the type of record.

EXHIBIT A

2009 IACA Resolutions (Denver, Colorado)

1. The IACA Indexing Standards Workgroup presented best practices procedures for the indexing of individual and organization names for business organization and secured transaction filings at the IACA conferences in 2007 and 2008. IACA has identified concerns regarding the indexing and searching of special characters in individual and organization names on Uniform Commercial Code Article 9 filings. IACA shall present these concerns to the PEB and NCCUSL and request that they address these concerns in the statute. IACA also recommends that its members publish filing office practices relative to indexing and searching special characters.
2. The IACA Indexing Standards Workgroup hereby recommends that filing offices presented with special characters in a name in a financing statement and have the ability to index the special characters, should do so.
3. If the filing office does not have the ability to index a special character presented in a name in a financing statement, the filing office may accept the filing, index the name as necessary in accordance with published filing office practices relative to indexing and searching special characters.

Exhibit B

State UCC Financing Statement ELECTRONIC FILING Capabilities

State	Filing Method		Electronic Attachments	Acknowledgment Format		Data Field Maximum Sizes						Character Set	
	Web	XML		Web Filing	XML Filing	D -Org	D- Ind LN	D -Ind FN	D-Ind MN	SP-Org	Address		Collateral
Alabama	Yes	No	No	PDF Form	NA	150	60	60	60	150	100	Unlimited	Other
Alaska	Yes	No	No	Letter	NA	?	?	?	?	?	?	Unlimited	Keyboard
Arizona	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	?
Arkansas	Yes	No	No	Screen Capture	NA	300	100	100	100	300	100	Unlimited	Keyboard
California	Yes	Yes	XML Only	Screen Capture	Tagged Text	300	50	50	50	300	110	512,000	Extended
Colorado	Yes	No	No	Screen Capture	NA	120	35	35	35	120	35	5,000	Keyboard
Connecticut	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	?
Delaware	Yes	Yes	XML Only	?	PDF Form	120	40	40	30	120	96	24,000	Keyboard
District of Columbia	Yes	No	No	PDF Form	NA	50	30	20	14	50	35	75,000	?
Florida	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Extended
Georgia	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Keyboard
Hawaii	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	?
Idaho	Yes	Yes	No	Screen Capture	Tagged Text	255	255	50	50	255	?	32,000	Keyboard
Illinois	Yes	Yes	XML Only	PDF Form	PDF Form	200	30	20	20	64	32	65,535	Keyboard
Indiana	Yes	No	No	?	NA	?	?	?	?	?	?	?	?
Iowa	Yes	Yes	No	PDF Form	Tagged Text	100	50	50	50	100	60	9,200	Keyboard
Kansas	Yes	No	No	Screen Capture	NA	175	175	25	25	100	140	4,000	Keyboard
Kentucky	Yes	No	No	Screen Capture	NA	300	50	50	50	300	50	8,000	Keyboard
Louisiana	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Keyboard
Maine	Yes	No	No	PDF Other	NA	150	50	15	15	150	70	4,000	Keyboard
Maryland	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Keyboard
Massachusetts	Yes	Yes	XML Only	PDF Form	Tagged Text	175	35	25	25	175	110	65,535	Keyboard
Michigan	Yes	No	No	PDF Form	NA	250	70	40	20	250	50	64,000	Keyboard
Minnesota	Yes	No	No	Screen Capture	NA	300	50	50	50	300	110	6,000	Keyboard
Mississippi	Yes	Yes	No	Screen Capture	PDF Other	300	100	100	100	500	500	Unlimited	Keyboard
Missouri	Yes	Yes	No	Screen Capture	Tagged Text	300	100	100	100	500	500	Unlimited	Keyboard
Montana	Yes	No	No	Screen Capture	NA	128	64	32	32	128	26	25,000	Keyboard
Nebraska	Yes	No	No	Screen Capture	NA	150	70	40	20	150	50	65,535	Keyboard
Nevada	Yes	No	No	PDF Form	NA	160	40	25	20	150	50	10,000	Keyboard
New Hampshire	Yes	Yes	No	Screen Capture	PDF Other	300	100	100	100	500	500	Unlimited	Keyboard
New Jersey	Yes	No	No	Letter	NA	60	60	60	60	60	60	1,500	Keyboard
New Mexico	Yes	Yes	No	Screen Capture	PDF Other	300	100	100	100	500	500	Unlimited	Keyboard
New York	Yes	Yes	No	PDF Form	Tagged Text	200	85	60	30	200	90	32,767	Other
North Carolina	Yes	Yes	No	Screen Capture	Tagged Text	300	100	100	100	500	500	Unlimited	Keyboard
North Dakota	Yes	No	No	Screen Capture	NA	80	40	22	10	80	20	300	Keyboard
Ohio	Yes	Yes	No	PDF Form	Tagged Text	300	100	100	100	300	255	Unlimited	Extended
Oklahoma	Yes	No	No	PDF Other	NA	64	64	64	64	64	64	65,000	Other
Oregon	No	No	NA	NA	NA	300	100	100	100	500	500	Unlimited	Keyboard
Pennsylvania	Yes	Yes	No	Screen Capture	Tagged Text	300	100	100	100	500	500	Unlimited	Keyboard
Rhode Island	Yes	No	No	PDF Form	NA	175	35	25	25	175	110	65,535	Keyboard
South Carolina	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Other
South Dakota	Yes	No	No	Screen Capture	NA	50	44	44	44	80	30	10,000	Other
Tennessee	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Keyboard
Texas	Yes	Yes	No	PDF Form	Tagged Text	300	50	50	50	300	110	Unlimited	Keyboard
Utah	Yes	No	No	Screen Capture	NA	125	14	14	14	125	50	4,000	Extended
Vermont	Yes	No	No	Screen Capture	NA	33	33	33	33	33	30	12,000	Keyboard
Virginia	No	No	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	Keyboard
Washington	Yes	Yes	No	Screen Capture	Tagged Text	300	100	100	100	300	250	28,500	Keyboard
West Virginia	Yes	No	No	Email	NA	75	30	20	16	75	34	?	Other
Wisconsin	Yes	No	No	PDF Other	NA	300	100	100	100	300	100	150,000	Keyboard
Wyoming	Yes	No	No	PDF Other	NA	128	?	?	?	128	?	98,000	Keyboard

The information on this sheet represents estimates only and should not be relied upon for correct system specifications. It was compiled from conversations with state UCC filing offices, published system specifications or estimates based on user experience.

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. EMAIL CONTACT AT FILER [optional]
C. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME				
OR				
1b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)		FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX
1c. MAILING ADDRESS		CITY	STATE	POSTAL CODE COUNTRY
1d. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate				

2. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME				
OR				
2b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)		FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE COUNTRY
2d. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate				

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME				
OR				
3b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)		FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX
3c. MAILING ADDRESS		CITY	STATE	POSTAL CODE COUNTRY

4. This FINANCING STATEMENT covers the following collateral:

5a. Check <u>only</u> if applicable and check <u>only</u> one box:				5b. Check <u>only</u> if applicable and check <u>only</u> one box:				
<input type="checkbox"/> Public-Finance Transaction	<input type="checkbox"/> Manufactured-Home Transaction	<input type="checkbox"/> A Debtor is a TRANSMITTING UTILITY	<input type="checkbox"/> Agricultural Lien	<input type="checkbox"/> Non-UCC Filing	<input type="checkbox"/> LESSEE/LESSOR	<input type="checkbox"/> CONSIGNEE/CONSIGNOR	<input type="checkbox"/> BAILEE/BAILOR	<input type="checkbox"/> SELLER/BUYER
6. ALTERNATIVE DESIGNATION. Check <u>only</u> if applicable and check <u>only</u> one box:								
7. OPTIONAL FILER REFERENCE DATA								

Instructions for UCC Financing Statement (Form UCC1)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions, especially Instruction 1; correct Debtor name is crucial. Follow Instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

If you need to use attachments, you are encouraged to use either Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP).

When properly completed, send Filing Office Copy, with required fee, to filing office. Always detach Debtor and Secured Party Copies.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.

C. Complete item C if you want an acknowledgment sent to you. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form the Acknowledgment Copy or a carbon or other copy of this form for use as an acknowledgment copy.

1. **Debtor name:** Enter only one Debtor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Debtor's exact full legal name. Don't abbreviate.

1a. **Organization Debtor.** "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name.

1b. **Individual Debtor.** "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr., Mrs., Ms.). Use suffix box only for titles of lineage (Jr., Sr., III) and not for other suffixes or titles (e.g., M.D.). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name box, first given name in First Given Name box, and second given name in Second Given Name box.

For both organization and individual Debtors: Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc. in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

1c. An address is always required for the Debtor named in 1a or 1b.

1d. If Debtor is a Trust or a Trustee acting with respect to property held in trust or is a Decedent's Estate, check the appropriate box.

2. If an additional Debtor is included, complete item 2, determined and formatted per Instruction 1. To include further additional Debtors, attach either Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP) and follow Instruction 1 for determining and formatting additional names.

3. Enter information for Secured Party or Total Assignee, determined and formatted per Instruction 1. To include further additional Secured Parties, attach either Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP) and follow Instruction 1 for determining and formatting additional names. If there has been a total assignment of the Secured Party's interest prior to filing this form, you may either (1) enter Assignor S/P's name and address in item 3 and file an Amendment (Form UCC3) [see item 5 of that form]; or (2) enter Total Assignee's name and address in item 3 and, if you wish, also attaching Addendum (Form UCC1Ad) giving Assignor S/P's name and address in item 11.

4. Use item 4 to indicate the collateral covered by this Financing Statement. If space in item 4 is insufficient, put the entire collateral description or continuation of the collateral description on either Addendum (Form UCC1Ad) or other attached additional page(s).

Note: If this Financing Statement is filed as a fixture filing or if the collateral consists of timber to be cut or as-extracted collateral, attach Addendum (Form UCC1Ad) and complete the required information in items 12, 13, 14, and 15.

5a. If the Financing Statement relates to a Public-Finance Transaction, Manufactured-Home Transaction, or a Debtor is a Transmitting Utility, check the appropriate box.

5b. If this is an Agricultural Lien (as defined in applicable Commercial Code) or if this is not a UCC security interest filing (e.g., a tax lien, judgment lien, etc.), check the appropriate box and attach any other items required under other law.

6. If filer desires (at filer's option) to use titles of lessee and lessor, or consignee and consignor, or seller and buyer (in the case of accounts or chattel paper), or bailee and bailor instead of Debtor and Secured Party, check the appropriate box.

7. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 7 any identifying information (e.g., Secured Party's loan number, law firm file number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.

UCC FINANCING STATEMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

8. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

8a. ORGANIZATION'S NAME			
OR	8b. INDIVIDUAL'S LAST NAME	FIRST GIVEN NAME	MIDDLE NAME, SUFFIX

9. MISCELLANEOUS:

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

10. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (10a or 10b) - do not abbreviate or combine names

10a. ORGANIZATION'S NAME					
OR	10b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
10c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

10d. Check only if applicable and check only one box: Debtor is a Trust Debtor is a Trustee acting with respect to property held in trust Debtor is a Decedent's Estate

11. ADDITIONAL SECURED PARTY'S or ASSIGNOR S/P'S NAME - insert only one name (11a or 11b)

11a. ORGANIZATION'S NAME					
OR	11b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
11c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

12. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. [if applicable]

13. This FINANCING STATEMENT covers timber to be cut, or as-extracted collateral, or is filed as a fixture filing.

14. Description of real estate:	15. Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):
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16. Additional collateral description:

Instructions for UCC Financing Statement Addendum (Form UCC1Ad)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions; correct Debtor name is crucial. Follow Instructions completely. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

ITEM INSTRUCTIONS

8. Insert name of first Debtor shown on Financing Statement to which this Addendum relates, exactly as shown in item 1 of Financing Statement.
9. Miscellaneous: Under certain circumstances, additional information not provided on Financing Statement may be required. Also, some states have non-uniform requirements. Use this space to provide such additional information or to comply with such requirements; otherwise, leave blank.
10. If this Addendum adds an additional Debtor, complete item 10 in accordance with Instruction 1 of Financing Statement. To include further additional Debtors, attach either an additional Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP) and follow Instruction 1 of Financing Statement for determining and formatting additional names.
11. If this Addendum adds an additional Secured Party, complete item 11 in accordance with Instruction 3 of Financing Statement. To include further additional Secured Parties, attach either an additional Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP) and follow Instruction 1 of Financing Statement for determining and formatting additional names. In the case of a total assignment of the Secured Party's interest before the filing of this Financing Statement, if filer has given the name and address of the Total Assignee in item 3 of Financing Statement, filer may give the Assignor S/P's name and address in item 11.
- 12-15. If this Financing Statement is filed as a fixture filing or if the collateral consists of timber to be cut or as-extracted collateral, complete items 1-4 of the Financing Statement (Form UCC1), check the box in item 12, and complete the required information (items 13, 14, and/or 15). If collateral is timber to be cut or as-extracted collateral, or if this Financing Statement is filed as a fixture filing, check appropriate box in item 13; provide description of real estate in item 14; and, if Debtor is not a record owner of the described real estate, also provide, in item 15, the name and address of a record owner. Description of real estate must be sufficient under the applicable law of the jurisdiction where the real estate is located.
16. Use this space to provide continued description of collateral, if you cannot complete description in item 4 of Financing Statement.

UCC FINANCING STATEMENT ADDITIONAL PARTY

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

17. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT

17a. ORGANIZATION'S NAME			
OR	17b. INDIVIDUAL'S LAST NAME	FIRST GIVEN NAME	MIDDLE NAME, SUFFIX

18. MISCELLANEOUS:

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

19. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (19a or 19b) - do not abbreviate or combine names

19a. ORGANIZATION'S NAME					
OR	19b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
19c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
19d. Check only if applicable and check only one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate					

20. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (20a or 20b) - do not abbreviate or combine names

20a. ORGANIZATION'S NAME					
OR	20b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
20c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
20d. Check only if applicable and check only one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate					

21. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (21a or 21b) - do not abbreviate or combine names

21a. ORGANIZATION'S NAME					
OR	21b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
21c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
21d. Check only if applicable and check only one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate					

22. ADDITIONAL SECURED PARTY'S or ASSIGNOR S/P'S NAME - insert only one name (22a or 22b)

22a. ORGANIZATION'S NAME					
OR	22b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
22c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

23. ADDITIONAL SECURED PARTY'S or ASSIGNOR S/P'S NAME - insert only one name (23a or 23b)

23a. ORGANIZATION'S NAME					
OR	23b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX	
23c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

Instructions for UCC Financing Statement Additional Party (Form UCC1AP)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions; correct Debtor name is crucial. Follow Instructions completely. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

Use this form to continue adding additional Debtor or Secured Party names as needed when filing a UCC Financing Statement (Form UCC1).

ITEM INSTRUCTIONS

17. Insert name of first Debtor shown on Financing Statement to which this Additional Party relates, exactly as shown in item 1 of Financing Statement.
18. Miscellaneous: Under certain circumstances, additional information not provided on Financing Statement may be required. Also, some states have non-uniform requirements. Use this space to provide such additional information or to comply with such requirements; otherwise, leave blank.
- 19-21. If this Additional Party adds additional Debtors, complete items 19, 20, and 21 in accordance with Instruction 1 of Financing Statement and give complete information for each additional Debtor. Be sure to complete either the organization's name or individual's name items.
- 22-23. If this Additional Party adds additional Secured Parties, complete items 22 and 23 in accordance with Instruction 11 of Financing Statement Addendum and give complete information for each additional Secured Party.

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UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. EMAIL CONTACT AT FILER [optional]
C. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NUMBER	1b. <input type="checkbox"/> This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Amendment Addendum (FORM UCC3Ad) and include Debtor's name in item 14.
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2. <input type="checkbox"/> TERMINATION: Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.

3. <input type="checkbox"/> ASSIGNMENT (full or partial): Give name of assignee in item 7a or 7b and address of assignee in item 7c; and also give name of assignor in item 9.

4. <input type="checkbox"/> CONTINUATION: Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

5. AMENDMENT (PARTY INFORMATION) Check only <u>one</u> of these two boxes: This Amendment affects <input type="checkbox"/> Debtor <u>or</u> <input type="checkbox"/> Secured Party of record. Also check one of the following three boxes: <input type="checkbox"/> AMEND name and/or address: Complete item 6a or 6b, item 7a or 7b, and also item 7c. <input type="checkbox"/> ADD name: Complete item 7a or 7b, and also item 7c. <input type="checkbox"/> DELETE name: Give record name to be deleted in item 6a or 6b.

6. CURRENT RECORD INFORMATION:				
6a. ORGANIZATION'S NAME				
OR	6b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX

7. AMENDED OR ADDED INFORMATION:				
7a. ORGANIZATION'S NAME				
OR	7b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX

7c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

7d. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Debtor is a Trust <input type="checkbox"/> Debtor is a Trustee acting with respect to property held in trust <input type="checkbox"/> Debtor is a Decedent's Estate

8. AMENDMENT (COLLATERAL CHANGE) Check only <u>one</u> box: Describe collateral <input type="checkbox"/> deleted, or <input type="checkbox"/> added, or give entire <input type="checkbox"/> restated collateral description, or describe collateral <input type="checkbox"/> assigned.

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor, check here <input type="checkbox"/> and enter name of DEBTOR authorizing this Amendment.
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9a. ORGANIZATION'S NAME				
OR	9b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX

10. OPTIONAL FILER REFERENCE DATA

Instructions for UCC Financing Statement Amendment (Form UCC3)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions, especially Instruction 1a; correct file number of Initial Financing Statement is crucial. Follow Instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

If you need to use attachments, you are encouraged to use either Amendment Addendum (Form UCC3Ad) or Amendment Additional Party (Form UCC3AP). When properly completed, send Filing Office Copy, with required fee, to filing office. Always detach Debtor and Secured Party Copies.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.

C. Complete item C if you want an acknowledgment sent to you. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form the Acknowledgment Copy or a carbon or other copy of this form for use as an acknowledgment copy.

Always complete items 1a and 9.

1a. **File number:** Enter file number of Initial Financing Statement to which this Amendment relates. Enter only one file number. In some states, the file number is not unique; in those states, also enter in item 1a, after the file number, the date that the Initial Financing Statement was filed.

1b. Only if this Amendment is to be filed or recorded in the real estate records, check box 1b and also, in item 13 of Amendment Addendum, enter Debtor's name, in proper format exactly identical to the format of item 1 of financing statement, and name of record owner if Debtor does not have a record interest.

Note: Show purpose of this Amendment by checking box 2, 3, 4, 5, or 8 (in item 5 you must check two boxes); also complete items 6, 7, and/or 8 as appropriate. Filer may use this Amendment form to simultaneously accomplish both data changes (items 4 or 5, and/or 8) and a Continuation (item 3), although in some states filer may have to pay a separate fee for each purpose.

2. To terminate the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 2. See Instruction 9 below.

3. To assign (i) all of assignor's interest under the identified financing statement, or (ii) a partial interest in the security interest covered by the identified financing statement, or (iii) assignor's full interest in some (but not all) of the collateral covered by the identified financing statement: Check box in item 3 and enter name of assignee in item 7a if assignee is an organization, or in item 7b, formatted as indicated, if assignee is an individual. Complete 7a or 7b, but not both. Also enter assignee's address in item 7c. Also enter name of assignor in item 9. If partial Assignment affects only some (but not all) of the collateral covered by the identified financing statement, filer may check appropriate box in item 8 and indicate affected collateral in item 8.

4. To continue the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 4. See Instruction 9 below.

5,6,7. To amend the name and/or address of a party: Check box in item 5 to indicate whether this Amendment amends information relating to a Debtor or Secured Party; also check box in item 5 to indicate that this is an Amend name and/or address; also enter name of affected party (current record name) in item 6a or 6b; and repeat or provide the new name in item 7a or 7b along with the address in item 7c.

5,7. To add a party: Check box in item 5 to indicate whether adding a Debtor or Secured Party; also check box in item 5 to indicate that this is an Add name and enter the new name in item 7a or 7b along with the address in item 7c. To include further additional Debtors or Secured Parties, attach Amendment Additional Party (Form UCC3AP), using correct name format.

5,6. To delete a party: Check box in item 5 to indicate whether deleting a Debtor or Secured Party; also check box in item 5 to indicate that this is a Delete name; and also enter name of deleted party in item 6a or 6b.

Note: The preferred method for filing against a new Debtor (an organization or individual not previously of record as a Debtor under this file number) is to file a new Financing Statement (UCC1) and not an Amendment (UCC3).

8. Collateral change. To change the collateral covered by the identified financing statement, describe the change in item 8. This may be accomplished either by describing the collateral to be added or deleted, or by setting forth in full the collateral description as it is to be effective after the filing of this Amendment, indicating clearly the method chosen (check the appropriate box). If the space in item 8 is insufficient, use item 13 of Amendment Addendum (Form UCC3Ad). A partial release of collateral is a deletion. If, due to a full release of all collateral, filer no longer claims a security interest under the identified financing statement, check box 2 (Termination) and not box 8 (Collateral Change). If a partial assignment consists of the assignment of some (but not all) of the collateral covered by the identified financing statement, filer may indicate the assigned collateral in item 8, check the appropriate box in item 8, and also comply with instruction 4 above.

9. Always enter name of party of record authorizing this Amendment; in most cases, this will be a Secured Party of record. If more than one authorizing Secured Party, give additional name(s), properly formatted, in item 13 of Amendment Addendum (Form UCC3Ad). If the indicated financing statement refers to the parties as lessee and lessor, or consignee and consignor, or seller and buyer, instead of Debtor and Secured Party, references in this Amendment shall be deemed likewise so to refer to the parties. If this is an Assignment, enter assignor's name. If this is an Amendment authorized by a Debtor that adds collateral or adds a Debtor, or if this is a Termination authorized by a Debtor, check the box in item 9 and enter the name, properly formatted, of the Debtor authorizing this Amendment, and, if this Amendment or Termination is to be filed or recorded in the real estate records, also enter, in item 13 of Amendment Addendum, name of Secured Party of record.

10. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 10 any identifying information (e.g., Secured Party's loan number, law firm file number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.

UCC FINANCING STATEMENT AMENDMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

11. INITIAL FINANCING STATEMENT FILE NUMBER (same as item 1a on Amendment form)

12. NAME OF PARTY AUTHORIZING THIS AMENDMENT (same as item 9 on Amendment form)

12a. ORGANIZATION'S NAME		
OR		
12b. INDIVIDUAL'S LAST NAME	FIRST GIVEN NAME	MIDDLE NAME, SUFFIX

13. MISCELLANEOUS:

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

14. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (14a or 14b) - do not abbreviate or combine names

14a. ORGANIZATION'S NAME			
OR			
14b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)	FIRST GIVEN NAME	SECOND GIVEN NAME	SUFFIX

15. This FINANCING STATEMENT covers timber to be cut or as-extracted collateral, or is filed as a fixture filing.

16. Description of real estate:

17. Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):

18. Use this space for additional information:

Instructions for UCC Financing Statement Amendment Addendum (Form UCC3Ad)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions; correct Debtor name is crucial. Follow Instructions completely. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

ITEM INSTRUCTIONS

11. Enter information exactly as given in item 1a on Amendment form.
12. Enter information exactly as given in item 9 on Amendment form.
13. Miscellaneous: Under certain circumstances, additional information not provided on Amendment may be required. Also, some states have non-uniform requirements. Use this space to provide such additional information or to comply with such requirements; otherwise, leave blank.
14. Insert the name of the debtor to which this Amendment Addendum relates.
- 15-17. If this Financing Statement is to be filed in the real estate records, complete items 15-17.
18. If space on Amendment form is insufficient or you must provide additional information, enter additional information in item 18.

UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

19. INITIAL FINANCING STATEMENT FILE NUMBER (same as item 1a on Amendment form)

20. NAME OF PARTY AUTHORIZING THIS AMENDMENT (same as item 9 on Amendment form)

20a. ORGANIZATION'S NAME

OR

20b. INDIVIDUAL'S LAST NAME

FIRST GIVEN NAME

MIDDLE NAME, SUFFIX

21. MISCELLANEOUS:

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

22. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (22a or 22b) - do not abbreviate or combine names

22a. ORGANIZATION'S NAME

OR

22b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)

FIRST GIVEN NAME

SECOND GIVEN NAME

SUFFIX

22c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

22d. Check only if applicable and check only one box:

Debtor is a Trust

Debtor is a Trustee acting with respect to property held in trust

Debtor is a Decedent's Estate

23. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (23a or 23b) - do not abbreviate or combine names

23a. ORGANIZATION'S NAME

OR

23b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)

FIRST GIVEN NAME

SECOND GIVEN NAME

SUFFIX

23c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

23d. Check only if applicable and check only one box:

Debtor is a Trust

Debtor is a Trustee acting with respect to property held in trust

Debtor is a Decedent's Estate

24. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (24a or 24b) - do not abbreviate or combine names

24a. ORGANIZATION'S NAME

OR

24b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)

FIRST GIVEN NAME

SECOND GIVEN NAME

SUFFIX

24c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

24d. Check only if applicable and check only one box:

Debtor is a Trust

Debtor is a Trustee acting with respect to property held in trust

Debtor is a Decedent's Estate

25. ADDITIONAL SECURED PARTY'S or ASSIGNOR S/P'S NAME - insert only one name (25a or 25b)

25a. ORGANIZATION'S NAME

OR

25b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)

FIRST GIVEN NAME

SECOND GIVEN NAME

SUFFIX

25c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

26. ADDITIONAL SECURED PARTY'S or ASSIGNOR S/P'S NAME - insert only one name (26a or 26b)

26a. ORGANIZATION'S NAME

OR

26b. INDIVIDUAL'S LAST NAME (i.e. FAMILY NAME or SURNAME)

FIRST GIVEN NAME

SECOND GIVEN NAME

SUFFIX

26c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

Instructions for UCC Financing Statement Amendment Additional Party (Form UCC3AP)

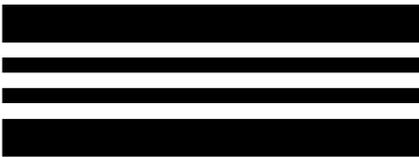
Please type or laser-print this form. Be sure it is completely legible. Read all Instructions; correct Debtor name is crucial. Follow Instructions completely. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

Use this form to continue adding additional Debtor or Secured Party names as needed when filing a UCC Financing Statement Amendment (Form UCC3).

ITEM INSTRUCTIONS

19. Enter file number of Initial Financing Statement as shown on the Amendment to which this Amendment Additional Party relates, exactly as shown in item 1a of Amendment.
20. Enter information exactly as shown in item 9 of Amendment.
21. Miscellaneous: Under certain circumstances, additional information not provided on Amendment may be required. Also, some states have non-uniform requirements. Use this space to provide such additional information or to comply with such requirements; otherwise, leave blank.
- 22-24. If this Amendment Additional Party adds additional Debtors, complete items 22, 23, and 24 in accordance with Instruction 1 of Financing Statement and give complete information for each additional Debtor. Be sure to complete either the organization's name or individual's name items.
- 25-26. If this Amendment Additional Party adds additional Secured Parties, complete items 25 and 26 in accordance with Instruction 3 of Financing Statement and give complete information for each additional Secured Party.



The filing of this statement of claim does not affect the effectiveness of an initial financing statement or other filed record.

STATEMENT OF CLAIM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF PERSON FILING THIS STATEMENT [optional]
B. EMAIL CONTACT AT FILER [optional]
C. SEND ACKNOWLEDGMENT TO: (Name and Address)
<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="border-left: 1px solid black; border-top: 1px solid black; width: 20px; height: 20px;"></div> <div style="border-right: 1px solid black; border-top: 1px solid black; width: 20px; height: 20px;"></div> </div> <div style="border-left: 1px solid black; border-right: 1px solid black; border-bottom: 1px solid black; width: 100%; height: 100%;"></div>

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. Identification of the RECORD to which this STATEMENT OF CLAIM relates.

1a. INITIAL FINANCING STATEMENT FILE NUMBER	1b. RECORD INFORMATION TO WHICH THIS STATEMENT OF CLAIM RELATES
---	---

2a. RECORD is inaccurate. Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 is inaccurate and indicate the manner in which the person believes the RECORD should be amended to cure the inaccuracy.

2b. RECORD was wrongfully filed. Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 was wrongfully filed.

3. If this STATEMENT OF CLAIM relates to a RECORD filed [or recorded] in a filing office described in Section 9-501(a)(1) and this STATEMENT OF CLAIM is filed in such a filing office, provide the date [and time] on which the INITIAL FINANCING STATEMENT identified in item 1a above was filed [or recorded].

3a. DATE	3b. TIME
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4. NAME OF PERSON AUTHORIZING THE FILING OF THIS STATEMENT OF CLAIM — The RECORD identified in item 1 must be indexed under this name.

4a. ORGANIZATION'S NAME			
OR 4b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

Instructions for Statement of Claim (Form UCC5)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions, especially Instructions 1a and 1b; correct identification of the initial Record to which this Statement of Claim relates is crucial. Follow Instructions completely. Fill in form very carefully. If you have questions, consult your attorney. Filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use. When properly completed, send Filing Office Copy to filing office. Always detach Debtor and Secured Party Copies.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.
C. Complete item C if you want an acknowledgment sent to you. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form the Acknowledgment Copy or a carbon or other copy of this form for use as an acknowledgment copy.

General — You must always complete items 1 and 4 and either 2a or 2b. You may also be required to complete item 3.

- 1a. **File number:** Enter file number of initial Financing Statement to which the Record that is the object of this Statement of Claim relates. Enter only one file number.
- 1b. Enter Record information to which this Statement of Claim relates. Indicate the type of Record to which this Statement of Claim relates (e.g., Financing Statement or Amendment) or you may also insert additional information that you believe will assist in identifying the Record (e.g., the Record file number or the filing date of the Record).
- 2a. If this Statement of Claim is filed based on the filer's belief that the Record identified in item 1 is inaccurate, check box 2a, provide the basis for that belief, and indicate the manner in which the Record should be amended to cure the inaccuracy.
- 2b. If this Statement of Claim is filed based on the filer's belief that the Record identified in item 1 was wrongfully filed, check box 2b and provide the basis for that belief.
3. If this Statement of Claim relates to a Record filed [or recorded] in a filing office described in Section 9-501(a)(1) and this Statement of Claim is filed in such a filing office, provide the date [and time] on which the initial Financing Statement identified in item 1a above was filed [or recorded].
4. Always enter name of the person who authorized the filing of this Statement of Claim. This name must be the same as the name under which the Record is indexed.