DRAFT

FOR DISCUSSION ONLY

<u>Uniform</u> College Athlete Name, Image, Andand Likeness Issues Act

[Tentative new name: Uniform College Athlete Name, Image, or Likeness Act]

Uniform Law Commission

May 13 June 7, 2021 Committee Video Meeting Informal Session

Clean Draft



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May 5June 1, 2021

Uniform College Athlete Name, Image, and Likeness Issues Act

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<u>Uniform</u> College Athlete Name, Image, and Likeness Issues Act

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Uniform College Student Athlete Name, Image, and Likeness Issues Act

2 Prefatory Note

Intercollegiate sports have grown into a billion dollar industry, with massive television deals, multi-million dollar coaching contracts, extravagant facilities, and lucrative commercial licensing agreements. At the same time, there has been massive growth over the last several years in the opportunities for individuals to monetize the use of their name, image, or likeness. Although the traditional models of licensing name, image, or likeness through broadcast and media are still lucrative options for high-end celebrities and athletes, social media channels have created potential opportunities for a much broader set of individuals and created "social influencers" who are able to effectively reach mobile and social media audiences. Many of these influencers are college or high school students. Studies estimate that within five years—as key demographics continue to consume more of their content through social media channels rather than television—brands will spend between \$5 and \$10 billion globally on social influencer marketing per year.

14 marketing per year15

Despite the rapid escalation of the commercialization of intercollegiate sports and the increased opportunities for monetization of celebrity name, image, or likeness, the benefits provided to college athletes remain relatively limited and restricted by National College Athletic Association and other governing body rules. In an attempt to modernize the rules and provide greater rights for college athletes, 37 states have introduced or enacted legislation that would permit college athletes to received compensation from third parties for the use of their name, image, or likeness. Several members of Congress have also introduced federal name, image or likeness legislation. As of June 1, 2021, 18 states have enacted name, image, or likeness laws and 6 of those laws will take effect July 1, 2021, or sooner. Several additional states are expected to enact similar laws that will take effect in 2021. In April 2020, the National College Athletic Association (NCAA) Board of Governors approved a framework that would permit college athletes to receive compensation for their name, image, or likeness from third parties.

The lack of uniformity in the state laws presents significant challenges for the NCAA and other athletic associations. The importance of having a uniform set of rules governing intercollegiate athletic competitions is well established, as is the notion that intercollegiate athletic associations cannot effectively function as a national association of college sports if it is required to adopt conflicting or inconsistent rules from different states. The proliferation of inconsistent state laws also highlighted the risk of instability for the NCAA and other intercollegiate governing bodies. Even if the NCAA were to modify its rules to conform with the most permissive state law, a modification to an existing state law or the enactment of a new state law could dramatically change the name, image, or likeness rules by which institutions can compete and operate. Given the interdependence of the institutions across the country, the impact of a change in one state's laws could have a ripple effect on schools in other states and the entire NCAA or intercollegiate athletic association. A uniform law across all states would prevent this instability and ensure that schools in each state are playing under the same general rules.

The ULC agreed to draft a uniform act regarding college athlete name, image, or likeness compensation issues. The Drafting Committee met several times over a period of a years and

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received valuable input from athlete agents, current and former college athletes, coaches, college athletic department administrators, representatives of the players associations of the National Football League, the National Hockey League, the NCAA, the National Federation of High Schools, the NAIA, and other stakeholders.

Many of the provisions in the Uniform College Athlete Name, Image, or Likeness Act are similar to provisions found in existing state name, image, or likeness laws. Like many of the individual state laws, the act creates a set of rules and restrictions to ensure that college athletes can benefit from the use of their name, image, or likeness without hurting their eligibility to compete as a college athlete and strikes a balance between providing more rights to college athletes while maintaining the integrity of intercollegiate sports. The Uniform Act provides (1) a mechanism for permitting college athletes to receive compensation for their NIL rights; (2) parameters on the types of activity athletes can engage in to protect institutions; (3) parameters on the compensation athletes can receive to protect college athletes and institutions from misuse or abuse of NIL deals; (4) limitations on institution, conference, and athletic association involvement; (5) a disclosure requirement for college athletes; (6) a mechanism for certifying and regulating agents; (7) a mechanism for certifying and regulating third parties who provide compensation to college athletes for the use of their name, image, or likeness; (8) a right of action for college athletes if their NIL rights are violated; (9) civil penalties for violations of the act.

I	Uniform College Athlete Name, Image, and Likeness Issues Act
2	Section 1. Title
3	This [act] may be cited as the <u>Uniform College</u> Student Athlete Name, Image, and or
4	Likeness Act.
5	Section 2. Definitions
6	In this [act]:
7	(1) "Athletic association" means a national nonprofit collegiate
8	athleties intercollegiate sport governance association that:
9	(A) conducts athletic competition among its member institutions;
10	(B) sets playing rules for the competition; and
11	(C) regulates the eligibility of players and institutions to compete; and.
12	— (2) "Booster" means a representative of an institution's athletic program,
13	including a person that:
14	(A) provides a donation to obtain a season ticket for a sport at the
15	institution;
16	(B) participates in, is a member of, or makes a financial contribution to the
17	institution's athletic program or to an organization promoting the institution's athletic program;
18	(C) assists or is requested by the institution's staff to assist in inducement;
19	(D) assists in providing a benefit, other than name, image and likeness
20	compensation, to an athlete or the athlete's family;
21	(E) arranged for or provided employment for enrolled student-athletes; or
22	(F) is otherwise involved in promoting the institution's athletic program.
23	The term includes a person that has engaged in any of these activities in

Commented [LK1]: What happened to "college <u>student</u> athlete"? From our early discussions, I thought it was important to continue the concept of the "student" athlete. When did we decide to delete the term in the title and throughout the act, and why?

1 the past. 2 (3) "College student(2) "College athlete" means an individual who is eligible to 3 attendbe or is a student at an institution and engages in, is eligible to engage in, or may be eligible in the future to engage in an intercollegiate sport. The term does not include an 4 5 individual in elementary or secondary school from kindergarten to grade twelve 12 or, for a particular sport, an individual permanently ineligible to participate in a particular intercollegiate 6 7 sport for that sport. 8 (43) "Conference" means a person, other than an athletic association, that governs 9 the athletic intercollegiate sports programs of more than one institution. 10 (54) "Group license" means an a name, image, or likeness agreement in whichthat 11 includes the name, image, and or likeness of more than one college student athlete is used. 12 (65) "Inducement" means an any attempt to influence the decision of a college 13 student athlete to attend, continue attending, or transfer to an institution or conference. 14 (76) "Institution" means a public or private institution of higher education 15 within this state, including a community college, junior college, college, and university. 16 (87) "Intercollegiate sport" means a sport played at the collegiate institutional 17 level for which eligibility requirements for participation by a college student athlete are 18 established by an athletic association. The term does not include a recreational, intramural, or 19 club sportssport. 20 (98) "Name, image, and likeness" means the name, image, or likeness or any 21 combination thereof of a college student athlete. The term means a word, phrase, symbol, 22 representation, or design, or any combination of the foregoing, that reasonably identifies a 23 college athlete and includes the college athlete's nickname, signature, and social media account,

Commented [LK2]: See definition of" student". Use of the term here avoids the need to define "attend" (or, later, enrolled").

Commented [LK3]: The proposed language seems clearer than the current language.

Commented [LK4]: "Athletic" programs could include intramural sports, etc.

Commented [LK5]: "Collegiate" is a new term. Use of "institutional" here makes it clear that the sport is one played at the higher education level and does not introduce a new term.

Commented [LK6]: What "NIL" means, not just what it" includes", should be identified.

1	and any other symbol, name, or design that readily identifies the college athlete.
2	(109) "Name, image and, or likeness activity" means licensing or other
3	commercial use of a name, image, and or likeness.
4	(1110) "Name, image, andor likeness agent" means an individual who a person.
5	other than a college athlete but including a marketing company or the like, that:
6	(A) directly or indirectly recruits or solicits a college student athlete or
7	other person with the athlete's consent, or, if the athlete is a minor, recruits or solicits the
8	college student athlete's parent or [guardian,], to enter into an agency contract foror the agent
9	to obtain name, image, or likeness compensation or a name, image, and or likeness
0	compensation,agreement;
1	(B) enters into an agency contract with a college student an athlete for
2	name, image, and likeness compensation, or or, if the athlete is a minor, the athlete's parent or
3	[guardian] a person included in paragraph (a); or
4	(C) directly or indirectly offers, promises, attempts, or negotiates to obtain
5	name, image, or likeness compensation or a name, image, andor likeness agreement.
6	(1211) "Name, image, andor likeness agreement" means an agreement under
7	which a third party provides name, image, andor likeness compensation.
8	(1312) "Name, image, andor likeness compensation" means money or other thing
9	of value provided by a third party in exchange for use of a college student athlete's name, image,
20	andor likeness.
21	(1413) "Person" means an individual, estate, business or nonprofit entity, public
22	corporation, government or governmental subdivision, agency, or instrumentality, or other legal
23	entity.

Commented [LK7]: I thought we agreed to delete "commercial" because of NIL use by nonprofits as well as for- profits.

Commented [LK8]: This addresses a problem that recently was raised by another Commissioner.

Commented [LK9]: This covers the family member or other person whom the athlete might permit, for example, to obtain part or all of the compensation the athlete could obtain.

Commented [LK10]: "Obtaining" emphasizes that this is an agency contract in which the agent agrees to try to obtain something for the person. In addition, obtaining "compensation" is a separate concept from obtaining an NIL "agreement".

1	(1514) "Record" means information:
2	(A) inscribed on a tangible medium; or
3	(B) stored in an electronic or other medium and retrievable in perceivable
4	form.
5	(1615) "State" means a state of the United States, the District of Columbia, Puerto
6	Rico, the United States Virgin Islands, or any other territory or possession subject to the
7	jurisdiction of the United States.
8	(47 <u>16</u>) "Student" means an individual who is enrolled at an institution under the
9	rules of thatthe institution.
10	(1817) "Third party" means a person, other than thean institution attended by the
11	college student athlete, that offers, solicits, or enters into a name, image, and or
12	agreement. agreement or offers or provides name, image, andor likeness compensation. The
13 14 15 16 17	<u>Legislative Note: If a state uses a different</u> term includes an employee, agent, or independent contractor <u>to describe the relationship of guardian</u> , the person. The <u>bracketed term does not include an entity designated by "guardian" in paragraph (10) should be changed to the institution, conference, or association under Section 8(a). term used.</u>
18	Comment
19 20 21 22	The definition of name, image, andor likeness compensation does not include a scholarship, grant, fellowship, tuition assistance, or other forms of financial aid related to educational expenses.
23	Section 3. Scope
24	(a) This [act] applies only to college student athletes and intercollegiate sports.
25	(b) This [act] does not apply to an individual participating in athletics at thea sport in
26	grades kindergarten to grade twelve 12 or at a youth, preparatory school, recreation, intramural,
27	club, or similar level.
28	[(c)This [act] does not apply to a United States service academy or another other

1	institution subject to federal regulation that conflicts with this [act].]
2	(d) This [act] does not create an employment relationship between a college student
3	athlete and the athlete's institution with respect to the athlete's participation in an intercollegiate
4	sport, nor can it. This [act]may not be used as a factor in determining whether suchan
5	employment relationship exists.
6 7 8	Legislative Note: Section 3Subsection (c) should be included in a state that has a United States service academy or another institution subject to federal regulation that conflicts with this act.
9	Section 4. Rulemaking Authority
10	The [agency responsible for implementing and administering the Uniform Athlete Agents
11	Act, Revised Uniform Athlete Agents Act, or other comparable law, or other appropriate agency]
12	shall implement and administer this [act] and shall administer this [ac] and may adopt rules
13	under [cite to state administrative procedure act] to do so.implement and administer this [act]do
14	<u>so.</u>
15	Section 5. Name, Image, and or Likeness Compensation; Limit on Institution,
16	Conference, and Athletic Association-and Institution
17	(a) Except as provided in Sections 6 and 7 or otherwise proscribed by [state] law, Section
18	6, a college student athlete may receive name, image, andor likeness compensation to the extent
19	permitted under other law of this state. This [act] does not diminish, enlarge, or modify the right
20	of publicity or related rights provided by other law of this state.
21	(b) Except as provided in Section 6, an institution, conference, or athletic association,
22	conference, or institution-may not:
23	(1) adopt or enforce a rule, requirement, standard, or other limitation that prevents
24	or restricts prevent or restrict within this state:
25	(A) a college student athlete from receiving name, image, and or likeness

Commented [LK11]: There should be should be an explicit grant of authority to the agency, rather than relying indirectly on the authority for the agency to adopt regulations.

Commented [LK12]: We I have tried several versions of this subsection, and each time, at least from my perspective, it has led to more confusion than clarity. I think it is inevitable that our Act may diminish, enlarge, or modify, the right of publicity, and we therefore should delete this subsection.

Commented [LK13]: Will this help avoid a dormant Commerce Clause constitutional argument?

1	compensation, entering into a name, image-and, or likeness agreement, engaging in name, image
2	and, or likeness activity, or from obtaining the services of a name, image-and, or likeness agent,
3	ΘF <u>;</u>
4	(B) a college athlete from creating or participating in a group license or
5	interfere with the formation or recognition of a collective representative to facilitate or provide
6	representation to negotiate a group license; or
7	(C) an institution or a college student athlete from participating in an
8	intercollegiate sport because an athlete receives name, image, and or likeness compensation,
9	enters into a name, image <u>andor</u> likeness agreement, engages in name, image, <u>andor</u> likeness
10	activity, or obtains the services of a name, image-and, or likeness agent;
11	(2) consider name, image, andor likeness compensation in determining a college
12	student athlete's eligibility for or amount of an athletic scholarship or the amount of the athlete's
13	athletic scholarship;
14	(3) enact or enforce a rule, requirement, standard, or other limitation, or engage in
15	conduct that prevents or restricts college student athlete from creating or participating in a group
16	license or interferes with the formation or recognition of, a collective representative to facilitate a
17	group license or provide representation for an athlete to negotiate a group license.
18	Comment
19 20	Section 5(a) is not intended to diminish, enlarge, or otherwise modify the right of publicity or related rights provided by individual states.
21 22	Section 6. Restrictions Limit on Name, Image, and Likeness Compensation and
23	Activity
24	(a) A college student athlete only may only include in name, image, and or likeness
25	activity an institution, conference, or associations athletic association name, trademark, service

Commented [LK14]: "Any" college athlete at the institution, or this ("the") athlete?

Commented [LK15]: Moved back here for emphasis, so the reader realizes at the outset that this use is limited.

1	mark, logo, uniform design, or other identifier of athletic performance depicted or included in
2	any form of a media broadcast or related game footage only if the use is not likely to cause
3	confusion about the affiliation, connection, or association of the institution, conference, or
4	association to the activity or otherwise imply sponsorship or endorsement by the institution,
5	conference or association not likely to cause confusion about the affiliation, connection, or
6	relationship with the activity, or imply sponsorship or endorsement of the activity by, the
7	institution, conference or association, and is otherwise consistent with.permitted under
8	intellectual property law.
9	(b) Name, image, andor likeness compensation, or offers, promises, or solicitations an
10	offer, promise, or solicitation of compensation, must:
11	(1) may not be an inducement;
12	(2) must represent only consideration for use of name, image, and ikeness; and
13	must
14	(3) may not include compensation for performance, participation, or service in an
15	intercollegiate sport.
16	(c) A college student athlete may not express or imply that an institution, conference, or
17	athletic association endorses or is otherwise affiliated with the athlete's name, image, andor
18	likeness activity.
19	(d) A college student athlete may not engage in a name, image, and likeness activity that
20	is illegal.
21	(e) An institution may adopt and enforce a policy, or otherwise determine after
22	reasonable notice to the affected college athlete or athletes, to prohibit name, image, and or

Commented [LK16]: Why was this deleted? It would seem to be helpful to the reader to have several common examples available.

Commented [LK17]: To be parallel with the next subsection.

Commented [LK18]: The earlier version did not require a "policy", simply a "determination". I am concerned about gameplaying here – namely, if the activity is not specifically included in the policy, then it is not prohibited. On the other hand, I can understand the concern about a determination being made without reasonable notice to the affected athlete or athletes. The proposed language therefore includes a "reasonable notice" requirement before a determination may be made outside a policy.

likeness activity by a college athlete or athletes that is illegal or that is determined by the

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1	institution to be immoral, in conflict with the institution's values, unsafe, or determines is
2	immoral, in conflict with its values, unsafe, or has or willto adversely affect have an adverse
3	impact on the reputation of the institution, if the institution does not engage in the same
4	commercial activity. An institution making such a determination that prohibits name, image, and
5	likeness activity either through a policy adopted under this subsection or by a separate
6	determination shall disclose in a record to the college student athlete or and, if the athlete has one,
7	to the athlete's athlete's name, image, and or likeness agent the prohibition and the underlying
8	basis for that determination the prohibition.
9	(fe) An institution may adopt and enforce rules of conduct relating to name, image, andor
10	likeness activity that apply when the college athlete is engaged in an official team activity,
11	including a competition, practice, supervised workout, community service, or other activity that
12	involves an athlete and is, at the direction of, or supervised by, a member of the institution's
13	coaching or athletic department intercollegiate sport staff.
14	(gf) An institution, conference, or athletic association may require a college student
15	athlete to waive anya name, image, or likeness rightsright associated with the promotion, display,
16	broadcast, or rebroadcast of an intercollegiate sport.
17	Comment
18 19 20 21	SectionSections $6(a)$ and $6(c)$ are intended to be consistent with federal-intellectual property law.
22 23 24 25	Section 6(ed) is intended to prevent an institution from prohibiting a college athlete from engaging in name, image, or likeness activity with a third party or a category of third parties (e.g., sports gambling) if the institution engages in any sponsorship or endorsement activity with that third party or category of third parties.
26 27	Section 7. Institution, Conference, and <u>Athletic</u> Association Involvement
28	(a) An institution-shall adopt a policy describing permissible and impermissible name,

Commented [LK19]: Why what's this deleted?

Commented [LK20]: Added so the institution won't simply say the basis for the determination was the policy, although that was not the "underlying" basis.

1	image, and likeness activity.
2	(b) An institution, conference or athletic association may:
3	(1) assist a college student athlete:
4	(A) in evaluating the permissibility of name, image, andor likeness
5	activity, including compliance with law and institution, conference, and athletic association
6	rules;
7	(B) with the disclosure requirements of Section 418; and
8	(C) in providing a good-faith evaluation of a name, image, andor likeness
9	agent or a-third party;
10	(2) provide education toeducate a college student athlete about name, image,
11	andor likeness compensation, agreements, and activity; and
12	(3) permit a college student athlete to use the institution's facilities for name,
13	image, andor likeness activity under the same terms and conditions as other students at the
14	institution.
15	(b) Except as provided in subsection (a), an institution or conference orand its respective
16	employees, agents, and independent contractors shallmay not:
17	(1) provide name, image, and likeness compensation to a college student athlete
18	for the athlete's name, image, or likeness;
19	(2) play a role in assisting, identifying, arranging, facilitating, developing,
20	operating, securing, or promoting name, image, andor likeness activity;
21	(3) assist with selecting, arranging, or providing payment to a name, image, and on
22	likeness agent;
23	(4) assist with selecting, arranging, or collecting payment from a third party;

1 (5) except as provided in Section 6(a), permit a college student athlete to use the 2 intellectual property of the institution, conference or athletic association in name, image, andor 3 likeness activity; or 4 (6) use, license, or otherwise convey a name, image, andor likeness for a 5 commercial purpose except as provided in Section 5(e6(gf) or otherwise permitted by law other 6 than this [act] law. 7 **Section 8. Required Disclosures Commented [LK21]:** Could be a person outside the institution, or a person who is not an individual. 8 (a) A college student athlete shall disclose provide to the official individual or office person 9 designated under subsection (b) by the institution at which the athlete is enrolled:): 10 (1) a copy in a record of anya name, image, andor likeness agreements agreement 11 that provides name, image, andor likeness compensation is greater to the athlete in an amount 12 moregreater than \$[300], or, if no such copy exists a record of the agreement does not exist or 13 there was no agreement, the amount of name, image, andor likeness compensation provided or to 14 be provided if greater the amount is more than [\$\$[300]]; 15 (2) a copythe amount of all-name, image, and or likeness agreements compensation 16 provided ifto the athlete after and when the aggregate amount of name, image, and likeness Commented [LK22]: We do you mean "after", don't we? 17 compensation exceeds provided is more than \$[2,000] in a calendar year, or, if no such copies 18 exist, the amount and a copy in a record of each name, image, andor likeness compensation 19 provided in excess agreement providing all or any part of that compensation if a record of 20 [\$2,000] in a calendar year the agreement exists; 21 (3) for each agreement or amount that must be disclosed:provided: 22 (A) theeach arrangements arrangement for providing compensation; Commented [LK23]: There maybe more than one arrangement. 23 (B) the amount of compensation;

1	(C) the identity of and a description of the relationship with the third
2	party;
3	(D) activities the activity required by the agreement or authorized; and
4	(E) if the athlete is represented by a name, image, andor likeness agent
5	was used to arrange the agreement, the name of and a description of the relationship agreement
6	with the agent.
7	(4) each offer, solicitation, or promise made to the athlete by a third party that has
8	not previously been disclosed under this Section;
9	(5) a copy of each agreement entered into by the athlete with a name, image,
10	andor likeness agent that has not previously been disclosed under this Section; and
11	(6) other information deemedrequired by regulation by the [agency designated in
12	sectionSection 4] to be relevant to the athlete's name, image, and likeness activity.].
13	(b) An institution at which college student athletes are enrolled shall designate an
14	official <u>individual or office a person</u> to receive the information required by subsection (a).
15	(c) A college student athlete shall provide:
16	(1) the information required by subsection (a) before:
17	(A) receiving name, image, or likeness compensation required to be
18	disclosed; or
19	(B) engaging in a name, image, and or likeness activity and
20	providerequired to be disclosed; and
21	(2) an update after a change in the information not later than [10] days after the
22	earlier of the change or the next scheduled athletic-intercollegiate sport event in which the
23	student athlete may participate.

Commented [LK24]: Do we mean to require the submission of something that was submitted previously?

Commented [LK25]: See prior comment.

Commented [LK26]: Will require the agency to go through a formal APA process to require more information.

(d) If an institution, conference, or <u>athletic</u> association, either voluntarily or as required by this [act], adopts a <u>policy</u>, <u>rule</u>, <u>requirement</u>, <u>standard</u>, <u>or other</u> limitation affecting a college <u>student</u> athlete's ability to engage in <u>conduct affecting the athlete's</u> name, image, <u>andor</u> likeness <u>activity</u>, the institution shall provide in a record a copy of <u>each such policy</u>, <u>rule</u>, <u>requirement</u>, <u>standard</u>, <u>or other the</u> limitation to each <u>of its athletes athlete</u> by the time an offer of admission or financial aid is made, whichever is earlier, or, if the <u>policy</u>, <u>rule</u>, <u>requirement</u>, <u>standard</u>, <u>or other</u> limitation is not adopted until after the athlete is a student at the institution, <u>then</u> as soon as practicable after adoption.

(e) When a name, image, andor likeness agreement is entered into, the college student athlete, or, if the athlete is a minor, the parent or [guardian] of the minor entity, third partyeach party to the agreement, and, if none or more name, image, andor likeness agents assisted with the agreement, theeach agent shall certify to the official individual or office person designated in under subsection (b) atby the institution at which the athlete is enrolled that the agreement contains the sole, complete, and final agreement between the parties.

Comment

A college student athlete would be required to disclose to a designated third party under Section 8(a) if a third party is designated <u>under subsection (b)</u> by the institution, conference, association, or pursuant to federal law. Disclosures made under this section must be made available to the <u>Secretary of State or designated[agency designated in Section 4] or other</u> state agency or representative for inspection or review.

Section 9. Name, Image, andor Likeness Agent; Duties; and Registration

- (a) A name, image, and or likeness agent shall be registered register in this state as an athlete agent under [cite to Uniform Athlete Agents Act or Revised Uniform Athlete Agents Act or other comparable law] before engaging in conduct under this [act].
 - (b) An institution, conference, or athletic association may not prevent or $\frac{\text{deter}_{\underline{restrict}}}{\text{deter}}$

Commented [LK27]: Added in the event there is more than one agent

1 college student athlete from obtaining representation by the services of a name, image, and or 2 likeness agent. 3 ([(c) [AAn agreement between a college athlete and a name, image, andor likeness 4 agreementagent must have a fee arrangement that is consistent with norms for the customary 5 practice of the agent's industry and otherwise comply be in compliance with [cite to Uniform Commented [LK28]: I thought we agreed not to include this. 6 Athlete Agents Act, or Revised Uniform Athlete Agents Act, or other comparable law]]. 7 Legislative Note: In subsections (a) and (c), cite to the state's version of the uniform act or other 8 comparable state law. 9 10 [Section 10. Third Party; Registration and Disclosure; Voidable Contract 11 (a) A person that shall register as a third party if in a calendar year the person provides or 12 agrees to provide: 13 (1) to a college athlete in this state more than \$[300] in name, image, or Commented [LK29]: Do been anywhere or in the state? 14 compensation in or separate from a for an individual a name, image, andor likeness agreement 15 or; (2) to college athletes in this state more than \$[2,000] in the aggregate in a 16 Commented [LK30]: See prior comment. 17 calendar year to college athletes in name, image, or likeness compensation in or separate from 18 for name, image, and (a) likeness agreements is required to register under this act as a third party. 19 (b) A third party shall discloseprovide to the official individual or officeperson designated 20 inunder section 8(b) the name, image, and or likeness compensation and a record of each 21 agreements as specified described in subsection (a-) for which a record exists. 22 (c) A college student athlete or, if the athlete is a minor, the parent or [guardian] of the 23 athlete, may void a name image andor likeness agreement that was required to be registered or 24 disclosed by with a third party under this section but was if the party failed to comply with 25 subsection (a) or (b).]

That may be why the subsection is bracketed. But we do want to include the "in compliance" language, don't we?

1	Legislative Note: A state should omit Sections 10 through 15 if it decides not properly registered
2 3	or disclosed.to require registration of third parties.
4	[Section 11. Application for Registration as Third party Party; Application
5	(a) An applicant A person applying for registration as a third party shall submit an
6	application for registration to the [insert name of agency designated in section Section 4] in a
7	form prescribed by thethat [insert name of agency designated in sectionSection 4]. The
8	application must be signed by an authorized representative of the applicant under penalty of
9	perjury and must -include:
10	— (1) the name and contact information of the applicant, including
11	telephone number, email address, and, if available, a website;
12	(2) the address of the applicant's principal place of business;
13	(2) if registered in another state, the state of registration;
14	(3) the name and contact information of the applicant seeking registration;
15	(4) telephone number;
16	(5) means of communicating electronically, including an email address and, if
17	available, a website related to the entity;
18	(6) (3) each social-media account with which the applicant is
19	affiliated;
20	(74) a brief description of the type of business and business activity of the
21	applicant;
22	(8)5) the name and address of each person that is a partner, member, officer,
23	manager, associate, or profit sharerentitled to share profits, or directly or indirectly holds an
24	equity interest of at least [five] percent in the entityapplicant;
25	(96) whether the applicant or a person named under paragraph (86) has been a

I	defendant or respondent in a criminal proceeding or respondent in a civil or criminal proceeding
2	and, if so, the date and a brief explanation of each proceeding;
3	(107) whether the applicant or a person named under paragraph (86) has been
4	adjudicated as bankrupt or has declared bankruptcy;
5	(418) whether conduct of the applicant or a person named under paragraph (86)
6	has caused a college-student athlete to be sanctioned, suspended, or declared ineligible to
7	participate in an intercollegiate sport or an institution to be sanctioned;
8	(429) whether an application to be a third party or its equivalent by the applicant
9	or anya person named under paragraph (8) to be a third party in a state6) has been denied,
10	suspended, abandoned, or not renewed <u>in another state</u> ; and
11	$(\frac{43}{20})$ each state in which the applicant is currently registered or has applied to be
12	registered as a third party-or its equivalent; and
13	(14) any 11) other information deemed required by regulation promulgated by the
14	[the [finsert name of agency designated in Section 4] to be relevant to applications by].
15	(b) Instead of proceeding under subsection (a), a-A person registered as a third party-or
16	its equivalent in another state may apply for registration as a third party in this state by
17	submitting under penalty of perjury to the [insert name of agency designated in Section 4]:
18	(b1) a copy of the application for registration in the other state and any other
19	information required by subsection (a) not required to be included in the other state's application;
20	(2) a statement that identifies any material change in the information on the other
21	state's application or verifies there is no material change in thethat information, signed under
22	penalty of perjury; and
23	(3) a copy of the certificate of registration from the other state.

1	(c) The [insert name of agency designated in section under Section 4] may require a
2	boostershall issue a certificate of registration to comply with additional disclosure an individual
3	who applies for registration under subsection (b) if thethat [insert name of agency designated
4	under Section 4] determines:
5	(1) the application and registration requirements- of the other state are
6	substantially similar to or more restrictive than those of this [act]; and
7	(2) the registration has not been revoked or suspended and no action involving the
8	individual's-person's conduct as a third party or its equivalent is pending against the person or
9	the person's registration in any state.
10	(d) For purposes of implementing subsection (c), the [insert name of agency designated in
11	Section 4] shall:
12	(1) cooperate with agencies in other states which that register third parties or their
13	equivalent to develop a common registration form and determine which states have laws that are
14	substantially similar or more restrictive than this [act]; and
15	(2) exchange information, including information related to actions taken against
16	third parties or their equivalent or against their registrations, with those agencies.]
17	Section 12. Third_Party Certificate of Registration
18	(a) Except as provided in subsection (b), the [insert name of agency designated in
19	sectionSection 4] shall issue a certificate of registration to an applicant a person that applies for
20	registration whounder and complies with Section 11.
21	(b) The [insert name of agency designated in sectionSection 4] may refuse to issue a
22	certificate of registration to an applicant for registration under Section 11 if thethat [insert name]
23	of agency designated in Section 4] determines that the applicant has engaged in conduct that

1	significantly adversery impacts has a significant adverse impact on the reputation of thea contege
2	student-athlete or the athlete's institution, conference, or athletic association. In making this the
3	determination, the [agency] mayinsert name of agency designated in Section 4] shall consider
4	whether the applicant has:
5	(1) pleaded guilty or no contest to, has been convicted of, or has charges pending
6	for, a crime that if committed in this state, would involve moral turpitude or be a felony if
7	eommitted in this state;
8	(2) made a materially false, misleading, deceptive, or fraudulent representation in
9	the application or as a third party;
0	(3) engaged in conduct prohibited by Section <u>1516</u> ;
1	(4) engaged in conduct resulting in imposition of a sanction on an institution or a
2	sanction, suspension, or declaration of ineligibility to participate in an intercollegiate sport on a
3	college student-athlete-or a sanction on an institution; or
4	(5) engaged in conduct that reflects adversely reflects on the applicant's
5	credibility, honesty, or integrity.
6	(c) A third party registered under subsection (a) may apply to renew the its registration by
7	submitting an application for renewal in a form prescribed by the [insert name of agency
8	designated in sectionSection 4]. The application must be signed by an authorized representative
9	of the applicant under penalty of perjury and include current information on all matters required
20	in an original application for registration
21	[Section 13Limitation, Suspension, Revocation, or Nonrenewal of Third-Party
22	Registration
23	The [insert name of agency designated in section Section 4] may limit, suspend, revoke,

1	or refuse to renew a the registration of a third person entity party registered under Section 12(a)
2	for a reason that would have justified justify refusal to issue a certificate of registration under
3	Section 12(b)-).]
4	[Section 14. Temporary Registration of Third Party
5	The [insert name of agency designated in sectionSection 4] may issue a temporary
6	certificate of registration as a third party while for the period an application for registration or
7	renewal of registration is pending]
8	Section 15. Third Party Registration and Renewal Fees
9	An application for registration or renewal of registration as a third party must be
10	accompanied by a fee of established by regulation promulgated by the [agency designated in
11	Section 41±
12	(1) \$[200] for an initial application for registration;
13	(2) \$[100] for registration based on a certificate of registration issued by another state;
14	(3) \$[50] for an application for renewal of registration; or
15	(4) \$[25] for renewal of registration based on a renewal of registration in another state.]
16	Section 16. Third Party Prohibited Conduct
17	A third party may not intentionally:
18	(1) give materially false or misleading information or make a materially false
19	promise or representation with the intent to influence thea college student athlete, parent, or
20	[guardian] or another any person to exercise or transfer a college athlete's non-exclusive use of
21	the athlete's person to enter into a name, image, andor likeness, or the exclusive such authority
22	that has not already been transferred agreement, receive name, image, andor likeness
23	compensation, or engage in name, image, andor likeness activity;

Commented [LK31]: This clarifies that the temporary license may be issued, not <u>when</u> the application is pending, but <u>for the period</u> it is pending.

Commented [LK32]: This Section probably should be relocated as Section 13, with Sections 13 and 14 being renumbered as 14 and 15.

Commented [LK33]: This probably will be more palatable to the agency designated to administer our Act.

1	(2) rurnish <u>provide</u> anything of value to a conege student athlete or another person
2	except as permitted under this [act], if to do so may result in loss of the athlete's eligibility to
3	participate in the athlete's sport;
4	(3) funless registered under this [act],] initiate contact, directly or indirectly,
5	with a any person college student athlete or, if the athlete is a minor, a parent or [guardian] of the
6	athlete, to recruit or solicit the athlete, parent, or [guardian] that person to exercise or transfer a
7	college athlete's non-exclusive use of the athlete's name, image, or likeness, or the exclusive
8	such authority that has not already been transferredenter a name, image, andor likeness
9	agreement, receive name, image, andor likeness compensation, or engage in name, image, andor
10	likeness activity]; or
11	(4) {predate or postdate a name, image, or likeness agreement.;
12	[(5) fail to registerapply for registration register or disclose under Section 11]; or
13	(56) provide materially false or misleading information in an application for
14	registration or renewal of registration [or disclosure]; or or in a didclosure.]
15	(6) predate or postdate a name, image, and likeness agreement.
16 17 18 19	Legislative Note: If a state used a different term to describe the relationship of guardian, should include the bracketed term "guardian" should be changed to the term used language in the state paragraph (3) and paragraphs (5) and (6) only if they include the optional Sections 11 through 15 that provide for third-party registration.
20 21	Section <u>1617</u> . Civil Remed <u>yies</u>
22	(a) An institution or college student athlete has a cause of action for damages against a
23	name, image, andor likeness agent or third party if the institution or athlete is adversely affected
24	by an act or omission of the agent or entitythird party in violation of this [act]. An institution or
25	athlete is adversely affected by an act or omission of the agent or entitythird party only if,
26	because of the act or omission, the institution or athlete:

1	(1) is suspended or disqualified from participating in an intercollegiate sport; or
2	(2) suffers financial damage.
3	(b) A college student athlete has a cause of action under this section only if the athlete
4	was enrolled in a student at an institution at the time of the act or omission.
5	(c) In an action under this section, a prevailing plaintiff may recover [actual-or-] [treble]
6	damages, [punitive damages,] and reasonable attorney's fees, court costs, and other reasonable
7	litigation expenses.
8	(d) In addition to any other civil remedy authorized by this [act] or law other than this
9	[act], the [Attorney General] [and] [the agency designated in Section 4] may, pursuant to the
0	cite to the state administrative procedures act, assess a civil penalty against a name, image, or
1	likeness agent or third party not to exceed \$[50,000] for a violation of this [act], and may seek
2	injunctive or other appropriate relief.
3	[(de) A violation of this [act] is a violation of and enforceable under the [cite to state
4	consumer protection, or unfair trade or deceptive practice law] and the enforcement provisions of
5	those laws] apply to a violation of this [act].]
6	Legislative Note: If a A state may authorize the Attorney General or the agency designated in Section 4, or both, to enforce subsection (d).
18 19 20 21	A state that permits amendment by reference and has an unfair trade practices practice or consumer protection law that provides for civil enforcement by a state agency or person, including a competitor, the appropriate name for the practice and statutory citation to the

Commented [LK34]: Why was this deleted? Don't we need a formal process for imposing this civil penalty?

Commented [LK35]: This subsection has been moved from Section 18 and placed here because this is another civil remedy. In addition, the authority to seek injunctive or other appropriate relief has been added.

Commented [LK36]: Moved from the Comment to Section 18.

consumer protection law that provides for civil enforcement by a state agency or person, including a competitor, the appropriate name for the practice and statutory citation to the applicable law should replace the bracketed language in subsection (d). Some states prohibit with the name of the state agency or person. A state that has an amendment by reference and may require the unfair trade practices practice or consumer protection law to be amended. In that case, the bill should contain an appropriate but does not permit amendment of the specific law and by reference should delete subsection (d) should be deleted. If and make appropriate amendments to its unfair trade practice or consumer protection law. A state that does not have an unfair trade practice or consumer protection law; should delete subsection (d) should be deleted or amended to provide and substitute language providing for civil enforcement by a state agency, an affected member of the public, and or a competitor.

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1 2 3 4	A state may authorize the Attorney General or another state official to enforce this Section, or the agency designated in Section 4, or both. Section 1718. Civil Penalty
5	The [Attorney General or] [and] [insert name of the agency designated in sectionSection]
6	4, or both] may, pursuant to [cite to the state administrative procedures act] assess a civil penalty
7	against a name, image, andor likeness agent or third party not to exceed \$[50,000] for a violation
8	of this [act].
9 10 11	Section 18. Legislative Note: A state may authorize the Attorney General or another state official to enforce this Section, or the agency designated in Section 4, or both.
12	Section 19. Uniformity of Application and Construction
13	In applying and construing this uniform act, a court shall consider the promotion of
14	uniformity of the law among jurisdictions that enact it.
15	Section <u>1920</u> . Relation to Electronic Signatures in Global and National Commerce
16	Act
17	This [act] modifies, limits, or supersedes the Electronic Signatures in Global and National
18	Commerce Act, 15 U.S.C. Section 7001 et seq. [.], as amended], but does not modify, limit, or
19	supersede 15 U.S.C. Section 7001(c), or authorize electronic delivery of any of the notices
20	described in 15 U.S.C. Section 7003(b).
21 22 23 24 25 26	Legislative Note: It is the intent of this act to incorporate future amendments to the cited federal law. A state in which the constitution or other law does not permit incorporation of future amendments when a federal statute is incorporated into state law should omit the phrase, "as amended". A state in which, in the absence of a legislative declaration, future amendments are incorporated into state law also should omit the phrase.
27	[Section 20 21. Severability
28	If a provision of this [act] or its application to a person or circumstance is held invalid,
29	the invalidity does not affect another provision or application that can be given effect without the

